

## FEES - TERM SHEET

as of 22 November 2022

Based on Art. 20 of the Articles of Association, the Foundation Board has issued the following fee schedule (in Swiss Francs, CHF):

| Licence category<br>(incl. gradations)                                      | Cell                            | Admission<br>fee | Administra-<br>tion fee |
|---|---------------------------------|------------------|-------------------------|
| Banks according to Art. 3 BankG <sup>1</sup>                                | Banks                           | 100,000          |                         |
| Category 1 (covered deposits < CHF 50 million)                              |                                 |                  | 26,000                  |
| Category 2 (covered deposits ≥ CHF 50 m. and < CHF 400 m.)                  |                                 |                  | 45,500                  |
| Category 3 (covered deposits ≥ CHF 400 million)                             |                                 |                  | 65,000                  |
| Investment Firms acc. to Art. 3 BankG                                       | Investment Firms                | 60,000           |                         |
| Investment Firms acc. to Art. 30v BankG<br>(with administrative powers)     |                                 | 30,000           |                         |
| Category 1 (covered investment claims < CHF 5 million)                      |                                 |                  | 3,000                   |
| Category 2 (covered investment claims ≥ CHF 5 million)                      |                                 |                  | 6,000                   |
| Asset management companies<br>according to VVG <sup>2</sup>                 | Asset Managers                  | 7,000            | 1,000                   |
| Public funds management companies<br>according to UCITSG <sup>3</sup>       | Management Companies /<br>AIFMs | 7,000            | 1,000                   |
| Managers of alternative investment<br>funds according to AIFMG <sup>4</sup> | Management Companies /<br>AIFMs | 7,000            | 1,000                   |

The fees apply from 1 January 2023 and replace all previous fee decrees.

<sup>1</sup> Law of 21 October 1992 on Banks and Investment Firms (Banking Act; BankG)

<sup>2</sup> Law of 25 November 2005 on Asset Management (Asset Management Act; VVG)

<sup>3</sup> Law of 28 June 2011 concerning specific undertakings for collective investment in transferable securities (UCITSG)

<sup>4</sup> Law of 19 December 2012 concerning the Managers of Alternative Investment Funds (AIFMG)